

stage of meaningful use that an eligible professional, eligible hospital, or critical access hospital seeks to achieve.

Common MU Data Set means the following data expressed, where indicated, according to the specified standard(s):

- (1) Patient name.
- (2) Sex.
- (3) Date of birth.
- (4) Race—the standard specified in § 170.207(f).
- (5) Ethnicity—the standard specified in § 170.207(f).
- (6) Preferred language—the standard specified in § 170.207(g).
- (7) Smoking status—the standard specified in § 170.207(h).
- (8) Problems—at a minimum, the version of the standard specified in § 170.207(a)(3).
- (9) Medications—at a minimum, the version of the standard specified in § 170.207(d)(2).
- (10) Medication allergies—at a minimum, the version of the standard specified in § 170.207(d)(2).
- (11) Laboratory test(s)—at a minimum, the version of the standard specified in § 170.207(c)(2).
- (12) Laboratory value(s)/result(s).
- (13) Vital signs—height, weight, blood pressure, BMI.
- (14) Care plan field(s), including goals and instructions.
- (15) Procedures—
 - (i) At a minimum, the version of the standard specified in § 170.207(a)(3) or § 170.207(b)(2).
 - (ii) *Optional*. The standard specified at § 170.207(b)(3).
 - (iii) *Optional*. The standard specified at § 170.207(b)(4).
- (16) Care team member(s).

Complete EHR, 2011 Edition means EHR technology that has been developed to meet, at a minimum, all mandatory 2011 Edition EHR certification criteria for either an ambulatory setting or inpatient setting.

Complete EHR, 2014 Edition means EHR technology that meets the Base EHR definition and has been developed to meet, at a minimum, all mandatory 2014 Edition EHR certification criteria for either an ambulatory setting or inpatient setting.

Disclosure is defined as it is in 45 CFR 160.103.

EHR Module means any service, component, or combination thereof that can meet the requirements of at least one certification criterion adopted by the Secretary.

Human readable format means a format that enables a human to read and easily comprehend the information presented to him or her regardless of the method of presentation.

Implementation specification means specific requirements or instructions for implementing a standard.

Qualified EHR means an electronic record of health-related information on an individual that:

- (1) Includes patient demographic and clinical health information, such as medical history and problem lists; and
- (2) Has the capacity:
 - (i) To provide clinical decision support;
 - (ii) To support physician order entry;
 - (iii) To capture and query information relevant to health care quality; and
 - (iv) To exchange electronic health information with, and integrate such information from other sources.

Standard means a technical, functional, or performance-based rule, condition, requirement, or specification that stipulates instructions, fields, codes, data, materials, characteristics, or actions.

[75 FR 2042, Jan. 13, 2010, as amended at 75 FR 36203, June 24, 2010; 75 FR 44649, July 28, 2010; 77 FR 54283, Sept. 4, 2012]

Subpart B—Standards and Implementation Specifications for Health Information Technology

SOURCE: 75 FR 44649, July 28, 2010, unless otherwise noted.

§ 170.200 Applicability.

The standards and implementation specifications adopted in this part apply with respect to Complete EHRs and EHR Modules.

§ 170.202 Transport standards.

The Secretary adopts the following transport standards:

§ 170.204

(a) *Standard.* ONC Applicability Statement for Secure Health Transport (incorporated by reference in §170.299).

(b) *Standard.* ONC XDR and XDM for Direct Messaging Specification (incorporated by reference in §170.299).

(c) *Standard.* ONC Transport and Security Specification (incorporated by reference in §170.299).

[77 FR 54284, Sept. 4, 2012]

§ 170.204 Functional standards.

The Secretary adopts the following functional standards:

(a) *Accessibility. Standard.* Web Content Accessibility Guidelines (WCAG) 2.0, Level A Conformance (incorporated by reference in §170.299).

(b) *Reference source. Standard.* HL7 Version 3 Standard: Context-Aware Retrieval Application (Infobutton) (incorporated by reference in §170.299). (1) *Implementation specifications.* HL7 Version 3 Implementation Guide: URL-Based Implementations of the Context-Aware Information Retrieval (Infobutton) Domain, (incorporated by reference in §170.299).

(2) *Implementation specifications.* HL7 Version 3 Implementation Guide: Context-Aware Knowledge Retrieval (Infobutton) Service-Oriented Architecture Implementation Guide, (incorporated by reference in §170.299).

(c) *Clinical quality measure-by-measure data.* Data Element Catalog, (incorporated by reference in §170.299).

[77 FR 54284, Sept. 4, 2012]

§ 170.205 Content exchange standards and implementation specifications for exchanging electronic health information.

The Secretary adopts the following content exchange standards and associated implementation specifications:

(a) *Patient summary record*—(1) *Standard.* Health Level Seven Clinical Document Architecture (CDA) Release 2, Continuity of Care Document (CCD) (incorporated by reference in §170.299). *Implementation specifications.* The Healthcare Information Technology Standards Panel (HITSP) Summary Documents Using HL7 CCD Component HITSP/C32 (incorporated by reference in §170.299).

(2) *Standard.* ASTM E2369 Standard Specification for Continuity of Care

Record and Adjunct to ASTM E2369 (incorporated by reference in §170.299).

(3) *Standard.* HL7 Implementation Guide for CDA® Release 2: IHE Health Story Consolidation, (incorporated by reference in §170.299). The use of the “unstructured document” document-level template is prohibited.

(b) *Electronic prescribing*—(1) *Standard.* The National Council for the Prescription Drug Programs (NCPDP) Prescriber/Pharmacist Interface SCRIPT standard, Implementation Guide, Version 8, Release 1 (Version 8.1) October 2005 (incorporated by reference in §170.299)

(2) *Standard.* NCPDP SCRIPT Standard, Implementation Guide, Version 10.6 (incorporated by reference in §170.299).

(c) *Electronic submission of lab results to public health agencies.* *Standard.* HL7 2.5.1 (incorporated by reference in §170.299). *Implementation specifications.* HL7 Version 2.5.1 Implementation Guide: Electronic Laboratory Reporting to Public Health, Release 1 (US Realm) (incorporated by reference in §170.299).

(d) *Electronic submission to public health agencies for surveillance or reporting.* (1) *Standard.* HL7 2.3.1 (incorporated by reference in §170.299).

(2) *Standard.* HL7 2.5.1 (incorporated by reference in §170.299).

(3) *Standard.* HL7 2.5.1 (incorporated by reference in §170.299). *Implementation specifications.* PHIN Messaging Guide for Syndromic Surveillance (incorporated by reference in §170.299) and Conformance Clarification for EHR Certification of Electronic Syndromic Surveillance, Addendum to PHIN Messaging Guide for Syndromic Surveillance (incorporated by reference in §170.299).

(e) *Electronic submission to immunization registries*—(1) *Standard.* HL7 2.3.1 (incorporated by reference in §170.299). *Implementation specifications.* Implementation Guide for Immunization Data Transactions using Version 2.3.1 of the Health Level Seven (HL7) Standard Protocol Implementation Guide Version 2.2 (incorporated by reference in §170.299).

(2) *Standard.* HL7 2.5.1 (incorporated by reference in §170.299). *Implementation specifications.* HL7 2.5.1 Implementation